#### On the Hardness of PosSLP



#### Peter Bürgisser<sup>1</sup> Gorav Jindal<sup>2</sup>

#### January 8, 2024 SODA 2024, Alexandria, Virginia, USA

<sup>&</sup>lt;sup>1</sup>Institut für Mathematik, Technische Universität Berlin, Germany <sup>2</sup>Max Planck Institute for Software Systems, Saarbrücken, Germany

### Table of Contents





3 Conditional Hardness of PosSLP



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:
  - f may evaluate to irrational numbers



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:
  - f may evaluate to irrational numbers
  - Only an approximation  $\tilde{x}$  of x might be known in practice



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:
  - f may evaluate to irrational numbers
  - Only an approximation  $\tilde{x}$  of x might be known in practice
  - Exact computation f(x) may be computationally expensive



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:
  - f may evaluate to irrational numbers
  - Only an approximation  $\tilde{x}$  of x might be known in practice
  - Exact computation f(x) may be computationally expensive
- Can we efficiently approximate f(x)?



- An algorithm (represented as function f) with  $f: \mathbb{R}^n \to \mathbb{R}$
- On an input  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$ , compute f(x)
- Exact computation of f(x) may not be possible because:
  - f may evaluate to irrational numbers
  - Only an approximation  $\tilde{x}$  of x might be known in practice
  - Exact computation f(x) may be computationally expensive
- Can we efficiently approximate f(x)?
- It is reasonable to assume that *f* can be approximated using polynomials





#### Definition (Arithmetic circuit)

An arithmetic circuit is a directed acyclic graph whose inputs are constants 0, 1 or indeterminates  $x_1, x_2, \ldots, x_n$ . Internal nodes are operations  $+, -, \times, \div$ .



#### Definition (Arithmetic circuit)

An arithmetic circuit is a directed acyclic graph whose inputs are constants 0, 1 or indeterminates  $x_1, x_2, \ldots, x_n$ . Internal nodes are operations  $+, -, \times, \div$ .

- Each arithmetic circuit computes a rational function  $\frac{f}{g}$  with  $f, g \in \mathbb{Z}[x_1, x_2, \dots, x_n]$
- Size = Number of nodes



#### Definition (Arithmetic circuit)

An arithmetic circuit is a directed acyclic graph whose inputs are constants 0, 1 or indeterminates  $x_1, x_2, \ldots, x_n$ . Internal nodes are operations  $+, -, \times, \div$ .

- Each arithmetic circuit computes a rational function  $\frac{f}{g}$  with  $f, g \in \mathbb{Z}[x_1, x_2, \dots, x_n]$
- Size = Number of nodes

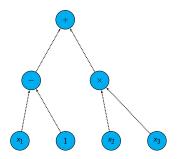
#### Definition (SLP)

A straight-line program (SLP) is a sequence of instructions for evaluation of an arithmetic circuit.

• SLPs and arithmetic circuits are used interchangeably.

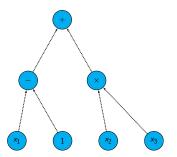


#### Example





#### Example



• This circuit computes the polynomial  $(x_1 - 1) + x_2 x_3$ 



#### Floating point representations

• For any non-zero  $u \in \mathbb{R}$ , there exists unique  $u' \in \mathbb{R}$ ,  $m \in \mathbb{Z}$  with  $\frac{1}{2} \le |u'| < 1$  such that  $u = u'2^m$ 



#### Floating point representations

- For any non-zero  $u \in \mathbb{R}$ , there exists unique  $u' \in \mathbb{R}$ ,  $m \in \mathbb{Z}$  with  $\frac{1}{2} \le |u'| < 1$  such that  $u = u'2^m$
- For  $k \in \mathbb{N}$ , approximate u' by a v such that  $|v u'| \le 2^{-(k+1)}$



### Floating point representations

- For any non-zero  $u \in \mathbb{R}$ , there exists unique  $u' \in \mathbb{R}$ ,  $m \in \mathbb{Z}$  with  $\frac{1}{2} \le |u'| < 1$  such that  $u = u'2^m$
- For  $k \in \mathbb{N}$ , approximate u' by a v such that  $|v u'| \leq 2^{-(k+1)}$
- This pair (v, m) is a floating point approximation of u with k significant bits



• Given a function  $f : \mathbb{R}^n \to \mathbb{R}$  and  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$  as inputs, approximate f(x)



- Given a function  $f : \mathbb{R}^n \to \mathbb{R}$  and  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$  as inputs, approximate f(x)
- There is a method to compute or approximate f



- Given a function  $f : \mathbb{R}^n \to \mathbb{R}$  and  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$  as inputs, approximate f(x)
- There is a method to compute or approximate f
- Assume f can be computed using an SLP



- Given a function  $f : \mathbb{R}^n \to \mathbb{R}$  and  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$  as inputs, approximate f(x)
- There is a method to compute or approximate f
- Assume f can be computed using an SLP

#### Problem (Generic task of numerical computation (GTNC))

Given a SLP P with indeterminates  $x_1, x_2, ..., x_n$ , floating point numbers  $a_1, a_2, ..., a_n$  and an integer k in unary, compute a floating point approximation of  $P(a_1, a_2, ..., a_n)$  with k significant bits.



### Table of Contents





3 Conditional Hardness of PosSLP



#### PosSLP

• Motivation: To characterize the complexity of numerical analysis (GTNC)



#### PosSLP

 Motivation: To characterize the complexity of numerical analysis (GTNC)

#### Definition (PosSLP)

Given a division-free SLP P without indeterminates, decide if the integer N computed by P is positive.



#### PosSLP

 Motivation: To characterize the complexity of numerical analysis (GTNC)

#### Definition (PosSLP)

Given a division-free SLP P without indeterminates, decide if the integer N computed by P is positive.

- Such an SLP P is sequence of integers (b<sub>0</sub>, b<sub>1</sub>, b<sub>2</sub>,..., b<sub>ℓ</sub>) with b<sub>0</sub> = 1 and for all 1 ≤ i ≤ ℓ, b<sub>i</sub> = b<sub>j</sub> ∘<sub>i</sub> b<sub>k</sub>, where ∘<sub>i</sub> ∈ {+, -, \*} and j, k < i</li>
- Integer computed by P is  $b_{\ell}$ , Size of P is  $\ell$

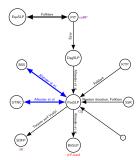


Connection to numerical analysis

Theorem ((Allender et al. 2006))

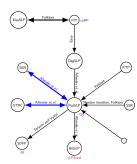
GTNC is polynomial time Turing equivalent to PosSLP.





• EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0

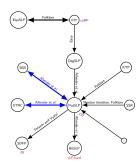




 $\longleftrightarrow$  = Polynomial time Turing equivalence

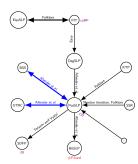
- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$ , decide if f = 0





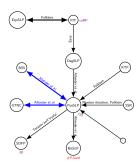
- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial f ∈ ℤ[x<sub>1</sub>, x<sub>2</sub>, . . . , x<sub>n</sub>], decide if f = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$





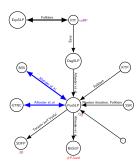
- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial *f* ∈ ℤ[x<sub>1</sub>, x<sub>2</sub>, . . . , x<sub>n</sub>], decide if *f* = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$
- BitSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$  and  $i \in \mathbb{N}$ , decide if  $i^{\text{th}}$  bit of N is 1





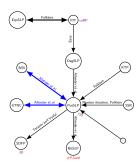
- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial *f* ∈ ℤ[x<sub>1</sub>, x<sub>2</sub>, . . . , x<sub>n</sub>], decide if *f* = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$
- BitSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$  and  $i \in \mathbb{N}$ , decide if  $i^{\text{th}}$  bit of N is 1
- Semidefinite feasibility problem (SDFP): Given an affine subspace of matrices, decide if it contains a positive semidefinite matrix





- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial f ∈ ℤ[x<sub>1</sub>, x<sub>2</sub>, . . . , x<sub>n</sub>], decide if f = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$
- BitSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$  and  $i \in \mathbb{N}$ , decide if  $i^{\text{th}}$  bit of N is 1
- Semidefinite feasibility problem (SDFP): Given an affine subspace of matrices, decide if it contains a positive semidefinite matrix
- BSS: Blum, Shub, and Smale model

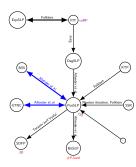




←→ = Polynomial time Turing equivalence

- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial f ∈ Z[x<sub>1</sub>, x<sub>2</sub>,..., x<sub>n</sub>], decide if f = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \ldots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$
- BitSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$  and  $i \in \mathbb{N}$ , decide if  $i^{\text{th}}$  bit of N is 1
- Semidefinite feasibility problem (SDFP): Given an affine subspace of matrices, decide if it contains a positive semidefinite matrix
- BSS: Blum, Shub, and Smale model
- SSR: Sum of Square roots problem
- KTP: Koiran's trinomial sign problem (Koiran 2019)





 $\longleftrightarrow$  = Polynomial time Turing equivalence

- EquSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$ , decide if N = 0
- PIT: Given a division-free arithmetic circuit computing a polynomial f ∈ Z[x<sub>1</sub>, x<sub>2</sub>,..., x<sub>n</sub>], decide if f = 0
- DegSLP: Given a division-free arithmetic circuit computing a polynomial  $f \in \mathbb{Z}[x_1, x_2, \dots, x_n]$  and  $d \in \mathbb{N}$ , decide if deg $(f) \leq d$
- BitSLP: Given a division-free SLP computing  $N \in \mathbb{Z}$  and  $i \in \mathbb{N}$ , decide if  $i^{\text{th}}$  bit of N is 1
- Semidefinite feasibility problem (SDFP): Given an affine subspace of matrices, decide if it contains a positive semidefinite matrix
- BSS: Blum, Shub, and Smale model
- SSR: Sum of Square roots problem
- KTP: Koiran's trinomial sign problem (Koiran 2019)
- CH: Counting hierarchy
- Image: Bar a given semialgebraic set is non empty



### Upper bounds for PosSLP

Theorem ((Allender et al. 2006))

 $PosSLP \in CH$ , here CH is the counting hierarchy.



## Upper bounds for PosSLP

Theorem ((Allender et al. 2006))

 $PosSLP \in CH$ , here CH is the counting hierarchy.

• Another approach: for  $n \in \mathbb{N}$ ,

- $\tau(n) :=$  size of the smallest SLP which computes n
- $\tau_+(n) :=$  size of the smallest subtraction free SLP which computes n



### Upper bounds for PosSLP

Theorem ((Allender et al. 2006))

 $PosSLP \in CH$ , here CH is the counting hierarchy.

• Another approach: for  $n \in \mathbb{N}$ ,

- $\tau(n) :=$  size of the smallest SLP which computes n
- $\tau_+(n) :=$  size of the smallest subtraction free SLP which computes n
- If  $au_+(n) \leq \mathsf{poly}( au(n))$  then  $\mathsf{PosSLP} \in \mathsf{PH}$  (Jindal and Saranurak 2012)



## Upper bounds for PosSLP

#### Theorem ((Allender et al. 2006))

 $PosSLP \in CH$ , here CH is the counting hierarchy.

- Another approach: for  $n \in \mathbb{N}$ ,
  - $\tau(n) :=$  size of the smallest SLP which computes n
  - $au_+(n) :=$  size of the smallest subtraction free SLP which computes n
- If  $au_+(n) \leq \mathsf{poly}( au(n))$  then  $\mathsf{PosSLP} \in \mathsf{PH}$  (Jindal and Saranurak 2012)
- There exist integer sequences where  $au_+(n) > au(n)$  (Jindal and Saranurak 2012)



#### Lower bounds for PosSLP

#### • Unfortunately, nontrivial lower bounds for PosSLP remain unknown



#### Lower bounds for PosSLP

#### • Unfortunately, nontrivial lower bounds for PosSLP remain unknown

Theorem (This paper)

If a constructive variant of the radical conjecture is true and  $PosSLP \in BPP$  then  $NP \subseteq BPP$ .



#### Table of Contents









 RealRootSLP: Given an arithmetic circuit computing a univariate polynomial f ∈ ℤ[x], decide if f has a real root



- RealRootSLP: Given an arithmetic circuit computing a univariate polynomial f ∈ ℤ[x], decide if f has a real root
- 3SAT≤<sub>P</sub> RealRootSLP, hence RealRootSLP is NP-hard (Perrucci and Sabia 2007)



- RealRootSLP: Given an arithmetic circuit computing a univariate polynomial f ∈ ℤ[x], decide if f has a real root
- 3SAT≤<sub>P</sub> RealRootSLP, hence RealRootSLP is NP-hard (Perrucci and Sabia 2007)
  - ▶ 3SAT formula  $\phi \longrightarrow$  An arithmetic circuit computing a polynomial  $f_{\phi}$  such that  $\phi$  is satisfiable iff  $f_{\phi}$  has a real root



- RealRootSLP: Given an arithmetic circuit computing a univariate polynomial f ∈ ℤ[x], decide if f has a real root
- 3SAT≤<sub>P</sub> RealRootSLP, hence RealRootSLP is NP-hard (Perrucci and Sabia 2007)
  - ▶ 3SAT formula  $\phi \longrightarrow$  An arithmetic circuit computing a polynomial  $f_{\phi}$  such that  $\phi$  is satisfiable iff  $f_{\phi}$  has a real root
  - All the real roots (if any) of  $f_{\phi}$  are in (-1, 1)



#### Idea for NP-hardness of PosSLP

• If  $\phi$  is satisfiable then  $f_{\phi}$  "should" look like:



#### Main idea

## Idea for NP-hardness of PosSLP

• If  $\phi$  is satisfiable then  $f_{\phi}$  "should" look like:





#### Idea for NP-hardness of PosSLP

• If  $\phi$  is satisfiable then  $f_{\phi}$  "should" look like:



• If  $\phi$  is not satisfiable then  $f_{\phi}$  "should" look like:



#### Idea for NP-hardness of PosSLP

• If  $\phi$  is satisfiable then  $f_{\phi}$  "should" look like:



• If  $\phi$  is not satisfiable then  $f_{\phi}$  "should" look like:







#### Main idea

## Idea for NP-hardness of PosSLP

• If  $\phi$  is satisfiable then  $f_{\phi}$  "should" look like:



• If  $\phi$  is not satisfiable then  $f_{\phi}$  "should" look like:



• Pick a random rational q in (-1, 1), check if  $f_{\phi}(1)$  and  $f_{\phi}(q)$  have different signs, i.e.  $(-1)f_{\phi}(1)f_{\phi}(q) > 0$ 



#### Challenges

•  $f_{\phi}$  only changes sign on real roots of odd multiplicity



#### Challenges

- $f_{\phi}$  only changes sign on real roots of odd multiplicity
- What if  $f_{\phi}$  has roots only of even multiplicity?  $\rightsquigarrow$  Radical conjecture



#### Challenges

- $f_{\phi}$  only changes sign on real roots of odd multiplicity
- What if  $f_{\phi}$  has roots only of even multiplicity?  $\rightsquigarrow$  Radical conjecture
- Even if  $f_{\phi}$  has roots only of odd multiplicity, how likely is it that  $f_{\phi}(1)$  and  $f_{\phi}(q)$  have different signs?  $\rightsquigarrow$  **UniqueSAT**



#### • $\tau(f) =$ size of the smallest arithmetic circuit computing f



- $\tau(f) =$  size of the smallest arithmetic circuit computing f
- rad(f) = Radical of f, i.e, the square free part of f



- $\tau(f) =$  size of the smallest arithmetic circuit computing f
- rad(f) = Radical of f, i.e, the square free part of f
- rad(f) has only simple roots and has all the roots of f



- $\tau(f) =$  size of the smallest arithmetic circuit computing f
- rad(f) = Radical of f, i.e, the square free part of f
- rad(f) has only simple roots and has all the roots of f

# Conjecture ((Dutta, Saxena, and Sinhababu 2022), Radical conjecture)

For univariate  $f \in \mathbb{Z}[x]$ ,  $\tau(\operatorname{rad}(f)) \leq \operatorname{poly}(\tau(f))$ .

• It implies  $\tau(\operatorname{rad}(f_{\phi})) \leq \operatorname{poly}(n)$ ,  $n = \operatorname{number of literals in } \phi$ 



## UniqueSAT

• Under randomized polynomial time reductions,  $\phi$  can be assumed to have a unique satisfying assignment (Valiant and Vazirani 1986)



## UniqueSAT

• Under randomized polynomial time reductions,  $\phi$  can be assumed to have a unique satisfying assignment (Valiant and Vazirani 1986)

#### Theorem (This paper)

If  $\phi$  has a unique satisfying assignment then  $\operatorname{rad}(f_{\phi})(1)$  and  $\operatorname{rad}(f_{\phi})(q)$  have different signs with probability at least  $\frac{1}{4\pi}$ , where q is a random rational in (-1, 1).



#### Lower Bound



#### Lower Bound

#### Theorem (This paper)

## If a constructive variant of the radical conjecture is true and $PosSLP \in BPP$ then $NP \subseteq BPP$ .



#### Future research directions

• Radical conjecture is a strong assumption, can we do without it?



#### Future research directions

- Radical conjecture is a strong assumption, can we do without it?
- Special cases of PosSLP:
  - Koiran's trinomial sign problem (Koiran 2019)
  - Sum of square roots problem
  - Decide if  $a^n + b^n c^n > 0$
  - Many more.....



#### Thanks for your attention! Any questions?





#### Literature I

Allender, E. et al. (2006). "On the complexity of numerical analysis". In: 21st Annual IEEE Conference on Computational Complexity (CCC'06), 9 pp.-339. DOI: 10.1109/CCC.2006.30. 📔 Dutta, Pranjal, Nitin Saxena, and Amit Sinhababu (June 2022). "Discovering the Roots: Uniform Closure Results for Algebraic Classes Under Factoring". In: J. ACM 69.3. ISSN: 0004-5411. DOI: 10.1145/3510359. URL: https://doi.org/10.1145/3510359. Jindal, Gorav and Thatchaphol Saranurak (2012). "Subtraction makes computing integers faster". In: CoRR abs/1212.2549. arXiv: 1212.2549. URL: http://arxiv.org/abs/1212.2549. Koiran, Pascal (2019). "Root separation for trinomials". In: Journal of Symbolic Computation 95, pp. 151–161. ISSN: 0747-7171. DOI: https://doi.org/10.1016/j.jsc.2019.02.004. URL: https://www.sciencedirect.com/science/article/pii/ S074771711930015X.

#### Literature II

Perrucci, Daniel and Juan Sabia (2007). "Real roots of univariate polynomials and straight line programs". In: Journal of Discrete Algorithms 5.3. Selected papers from Ad Hoc Now 2005, pp. 471–478. ISSN: 1570-8667. DOI: https://doi.org/10.1016/j.jda.2006.10.002. URL: https://www.sciencedirect.com/science/article/pii/ S1570866706000840. Valiant, L.G. and V.V. Vazirani (1986). "NP is as easy as detecting unique solutions". In: Theoretical Computer Science 47, pp. 85–93. ISSN: 0304-3975. DOI: https://doi.org/10.1016/0304-3975(86)90135-0. URL: https://www.sciencedirect.com/science/article/pii/ 0304397586901350.